



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ralph Bauer, et al.  
Title: SURFACE COATING SOLUTION  
Application No.: 10/823,400 Filed: April 13, 2004  
Examiner: Unassigned Group Art Unit: 1714  
Atty. Docket No.: 1055-A4363

MS AMENDMENT  
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Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449  
☐ Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

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2. a representation that a search has been made, other than as described below; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

07/17/2007 TNGUYEN2 00000054 503797 10823400

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as either First Class Mail or Express Mail, in an envelope addressed to the Commissioner for Patents on 7/12/07.

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Typed or Printed Name

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Signature

**STATEMENT UNDER 37 C.F.R. § 1.704(d)**

If the above-identified application is an original application filed on or after  
May 29, 2000:

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

**FEES DUE**

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- ☐ before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
- ☐ during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
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Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account 50-3797.

Respectfully submitted,



John R. Schell, Reg. No.: 50,776

Agent for Applicant(s)

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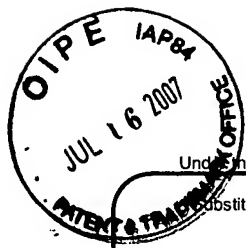
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7-12-07

Date



PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 4**Complete if Known**

Application Number	10/823,400
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First Named Inventor	Ralph Bauer
Art Unit	1714
Examiner Name	Tae H. Yoon
Attorney Docket Number	1035-A4363

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 2004/265219 A1	12/30/2005	Bauer et al.	
	AB	US- 2006/104895 A1	05/18/2006	Bauer et al.	
	AC	US- 2006/148955 A1	07/06/2006	Guiselin et al.	
	AD	US- 4,632,364	12/30/1986	Smith	
	AE	US- 5,155,085	10/13/1992	Hamano et al.	
	AF	US- 5,302,368	04/12/1994	Harato et al.	
	AG	US- 5,508,016	04/16/1996	Yamanishi et al.	
	AH	US- 6,747,087 B2	06/08/2004	Custodero et al.	
	AI	US- 6,653,387 B2	11/25/2003	Causa et al.	
	AJ	US- 3,842,111	10/15/1974	Meyer-Simon et al.	
	AK	US- 3,873,489	03/25/1975	Thurn et al.	
	AL	US- 3,978,103	08/31/1976	Meyer-Simon et al.	
	AM	US- 3,997,581	12/14/1976	Pietka et al.	
	AN	US- 5,580,914	12/03/1996	Falla et al.	
	AO	US- 5,583,245	12/10/1996	Parker et al.	
	AP	US- 5,663,396	09/02/1997	Musleve et al.	
	AQ	US- 5,684,171	11/04/1997	Wideman et al.	
	AR	US- 5,684,172	11/04/1997	Wideman et al.	
	AS	US- 4,386,185	05/31/1983	Macdonell et al.	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	AT	EP 0 501 227 B1	12/06/1995	Michelin & CIE	English Abstract	
	AU	WO 2003/089508 A1	10/30/2003	SG Ceramics & Plastics		
	AV	WO 2004/016630 A1	02/26/2004	Centre National	English Abstract	
	AW	WO 2004/090023 A1	10/21/2004	Michelin	English Abstract	
	AX	WO 2006/002993 A1	01/12/2006	Michelin	English Abstract	
	AY	WO 2007/056404 A1	05/18/2007	SG Ceramics & Plastics		

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Sheet 2

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		Number-Kind Code <sup>2</sup> (if known)			
	BA	US- 4,002,594	01/11/1977	Fetterman	
	BB	US- 5,580,919	12/03/1996	Agostini et al.	
	BC	US- 2004/0030017 A1	02/12/2004	Simonot et al.	
	BD	US- 6,858,665 B2	02/22/2005	Larson	
	BE	US- 5,696,197	12/09/1997	Smith et al.	
	BF	US- 3,321,272	05/23/1967	Kerr	
	BG	US- 3,950,180	04/13/1976	Kato	
	BH	US- 5,286,290	02/15/1994	Risley	
	BI	US- 5,318,628	06/07/1994	Matijevic et al.	
	BJ	US- 5,321,055	06/14/1994	Slocum	
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	BL	US- 6,017,632	01/25/2000	Pinnavaia et al.	
	BM	US- 6,156,835	12/05/2000	Anderson et al.	
	BN	US- 6,486,254 B1	11/26/2002	Barbee et al.	
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	BP	US- 6,648,959 B1	11/18/2003	Fischer et al.	
	BQ	US- 2004/0120904 A1	06/24/2004	Lye et al.	
	BR	US- 6,872,444 B2	03/29/2005	McDonald et al.	
	BS	US- 6,924,011 B2	08/02/2005	Van Aert et al.	

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BT	WO 98/14426	04/09/1998	Bionumerik Pharmaceuticals Inc.		
	BU	WO 2005/100491 A2	10/27/2005	Saint-Gobain Ceramics		
	BV	GB 1189304	04/22/1970	British Petroleum Co.		
	BW	EP 0 038 620 A2	10/28/1981	ICI PLC		
	BX	WO 2006/60206 A1	06/08/2006	Saint-Gobain Ceramics		
	BY	EP 0 697 432 B1	10/15/2003	Bridgestone Corp.		

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	CA	US- 2007/104952 A1	05/10/2007	Blanchi et al.	
	CB	US- 6,417,286 B1	07/09/2002	Agostini et al.	
	CC	US- 6,534,584 B2	03/18/2003	Wideman et al.	
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	CF	US- 4,539,365	09/03/1985	Rhee	
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	CH	US- 4,558,102	12/10/1985	Miyata	
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	CJ	US-			
	CK	US-			
	CL	US-			
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	CP	US-			
	CQ	US-			
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	CT	WO 2006/60468 A3	06/08/2006	Saint-Gobain Ceramics		
	CU	EP 1 112 961 B1	09/15/2004	Sumitomo Chemical Co.		
	CV	WO 2004/056915 A1	07/08/2004		English Abstract	
	CW	EP 1 000 965 B1	10/08/2003	Bridgestone Corp.		
	CX	EP 0 807 603 B1	12/17/2003	Sumitomo Chemical Co.		
	CY					

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		Examiner Name	Tac H. Yoon		
Sheet	4	of	4	Attorney Docket Number	1035-A4363

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	DA	Grant et al., "Grant and Hackh's Chemical Dictionary", 5th Ed., (1987), McGraw-Hill Book. Co. USA, ISBN 0-07-024067-1, pg. 160	
	DB		
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